



JAN 23 PM 4:24 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Chih-Chin Chang et al.

App. No.: 10/710,928

Filing Date: 08/13/2004

Examiner: TRINH, HOA B

Art Unit: 2814

Docket No.: LHTP0006USA

10 Title: LIGHT EMITTING DIODE PACKAGE

To: Mail Stop PGPUB
Commissioner for Patents
P.O. Box 1450
15 Alexandria VA 22313-1450

Subject: Request for a refund of publication fee

Dear Sir,

20

The issue fee and publication fee of the above-identified application were paid on 05/10/2005. And the publication fee \$300 was deducted from the deposit account no. 50-3105 on 05/10/2005 (Attachment 1). But the above-identified
25 application was issued as a patent on 08/30/2005 without publication process (Attachment 2).

The applicant hereby requests to refund the publication fee \$300 to the Deposit Account No. 50-3105 pursuant to 37
30 CFR 1.211(e) and MPEP 1126. Your quick response will be greatly appreciated.

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Sincerely yours,

Winston Hsu

Date: JAN 19 2006

5 Winston Hsu, Patent Agent No. 41,526
P.O. BOX 506, Merrifield, VA 22116, U.S.A..
Voice Mail: 302-729-1562
Facsimile: 806-498-6673
e-mail : winstonhsu@naipo.com

10

Note: Please leave a message in my voice mail if you need to
talk to me. (The time in D.C. is 12 hours behind the Taiwan
time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

15

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Attachment 1

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

027165

1590

04/08/2005

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(NAIPC)
P.O. BOX 506
MERRIFIELD, VA 22116

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Sheryl Lee (Depositor's name)
Sheryl Lee (Signature)
5/10/05 (Date)

APPLICATION NO.	FILING DATE	INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/710,928	08/13/2004	Chih-Chin Chang	LHTP0006USA	4927

TITLE OF INVENTION: LIGHT EMITTING DIODE PACKAGE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	07/08/2005
EXAMINER		ART UNIT	CLASS-SUBCLASS		
IRINH, HOA B		2814	257-100000		

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Winston Hsu

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Lighthouse Technology Co., LTD

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

No. 20, Kuang-Fu Rd., Hsin-Chu Industrial Park, Hu-Kou, Hsin-Chu Hsien,
Taiwan, R.O.C.

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-3105 (enclose an extra copy of this form)

5. Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Winston Hsu

Date 05/10/2005

Typed or printed name

Winston Hsu

Registration No. 41,526

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Deposit Account Statement

第 4 頁, 共 11 頁

Attachment 2



05/10 101	60594825	NAUP0710USA	1005	\$200.00	\$37,040.00
05/10 102	60594826	MTKP0306USA	1005	\$200.00	\$36,840.00
05/10 105	10710928	LHTP0006USA	2501	\$700.00	\$36,140.00
05/10 106	10710928	LHTP0006USA	1504	\$300.00	\$35,840.00
05/10 159	10605745	SISP0006USA	1251	\$120.00	\$35,720.00
05/10 175	10249455		1501	\$1,400.00	\$34,320.00
05/10 176	10249455		1504	\$300.00	\$34,020.00
05/10 177	10461470	MSCP0009USA	1501	\$1,400.00	\$32,620.00
05/10 178	10461470	MSCP0009USA	1504	\$300.00	\$32,320.00
05/10 179	10063773	CEIP0042USA	1501	\$1,400.00	\$30,920.00
05/10 180	10063773	CEIP0042USA	1504	\$300.00	\$30,620.00
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05/11 43	10908401	KYCP0031USA	1202	\$350.00	\$29,970.00
05/11 44	10908401	KYCP0031USA	8021	\$40.00	\$29,930.00
05/11 45	10908401	KYCP0031USA	1311	\$200.00	\$29,730.00
05/11 46	10908401	KYCP0031USA	1111	\$500.00	\$29,230.00
05/11 49	10908402	MTKP0232USA	1011	\$300.00	\$28,930.00
05/11 50	10908402	MTKP0232USA	1202	\$1,300.00	\$27,630.00
05/11 51	10908402	MTKP0232USA	1201	\$200.00	\$27,430.00
05/11 52	10908402	MTKP0232USA	8021	\$40.00	\$27,390.00
05/11 53	10908402	MTKP0232USA	1311	\$200.00	\$27,190.00
05/11 54	10908402	MTKP0232USA	1111	\$500.00	\$26,690.00
05/11 55	10908403	DRAP0005USA	4011	\$75.00	\$26,615.00
05/11 56	10908403	DRAP0005USA	8021	\$40.00	\$26,575.00
05/11 57	10908403	DRAP0005USA	2311	\$100.00	\$26,475.00
05/11 58	10908403	DRAP0005USA	2111	\$250.00	\$26,225.00
05/11 59	10908404	NAUP0637USA	1011	\$300.00	\$25,925.00
05/11 60	10908404	NAUP0637USA	8021	\$40.00	\$25,885.00
05/11 61	10908404	NAUP0637USA	1311	\$200.00	\$25,685.00
05/11 62	10908404	NAUP0637USA	1111	\$500.00	\$25,185.00
05/11 77	10908408	CMDP0016USA	1011	\$300.00	\$24,885.00
05/11 78	10908408	CMDP0016USA	8021	\$40.00	\$24,845.00
05/11 79	10908408	CMDP0016USA	1311	\$200.00	\$24,645.00
05/11 80	10908408	CMDP0016USA	1111	\$500.00	\$24,145.00
05/11 81	10908409	REAP0082USA	1011	\$300.00	\$23,845.00
05/11 82	10908409	REAP0082USA	8021	\$40.00	\$23,805.00
05/11 83	10908409	REAP0082USA	1311	\$200.00	\$23,605.00
05/11 84	10908409	REAP0082USA	1111	\$500.00	\$23,105.00
05/12 56	10904153	NAUP0583USA	8021	\$40.00	\$23,065.00
05/12 57	10904155	NAUP0584USA	8021	\$40.00	\$23,025.00
05/12 64	10908436	AMIP0032USA	4011	\$75.00	\$22,950.00
05/12 65	10908436	AMIP0032USA	8021	\$40.00	\$22,910.00
05/12 66	10908436	AMIP0032USA	2311	\$100.00	\$22,810.00
05/12 67	10908436	AMIP0032USA	2111	\$250.00	\$22,560.00
05/12 72	10908438	MVIP0005USA1	1011	\$300.00	\$22,260.00
05/12 73	10908438	MVIP0005USA1	8021	\$40.00	\$22,220.00
05/12 74	10908438	MVIP0005USA1	1311	\$200.00	\$22,020.00
05/12 75	10908438	MVIP0005USA1	1111	\$500.00	\$21,520.00
05/12 79	10908440	MSQP0002USA	4011	\$75.00	\$21,445.00
05/12 80	10908440	MSQP0002USA	2201	\$100.00	\$21,345.00
05/12 81	10908440	MSQP0002USA	8021	\$40.00	\$21,305.00

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10/710,928

Attachment 3
LIGHT EMITTING DIODE PACKAGE

Application Data

Application Number:	10/710,928	Customer Number:	-
Filing or 371 (c) Date:	08-13-2004	Status:	Patented Case
Application Type:	Utility	Status Date:	08-10-2005
Examiner Name:	TRINH, HOA B	Location:	ELECTRONIC
Group Art Unit:	2814	Location Date:	-
Confirmation Number:	4927	Earliest Publication No:	-
Attorney Docket Number:	LHTP0006USA	Earliest Publication Date:	-
Class / Subclass:	257/100	Patent Number:	6,936,862
First Named Inventor:	Chih-Chin Chang , Hsin-Chu City, (TW)	Issue Date of Patent:	08-30-2005
Title of Invention:	LIGHT EMITTING DIODE PACKAGE		

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Dep & Ref

PTO/SB/21 (09-04)
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TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>	Application Number	10/710,928	
	Filing Date	08/13/2004	
	First Named Inventor	Chih-Chin Chang	
	Art Unit	2814	
	Examiner Name	TRINH, HOA B	
Total Number of Pages in This Submission	6	Attorney Docket Number	LHTP0006USA

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): 1. A copy of issue fee transmittal submitted on 5/10/2005 2. A copy of deposit account statement on 5/10/2005 3. A copy of application data of app. no. 10/710,928
Remarks Request for refund of publication fee		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	Reg. No.	41,526	

CERTIFICATE OF TRANSMISSION/MAILING			
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Signature	<i>Janice Chen</i>		Date
Typed or printed name	Janice Chen		JAN 19 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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